



PATENT

-1-

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Carroll Boyd Norris, Jr.

Serial No.: 10/743,666

Filed: December 22, 2003

Group Art Unit: 2181

Title: SYSTEM FOR SECURE, IDENTITY AUTHENTICATED, AND IMMEDIATE FINANCIAL TRANSACTIONS AS WELL AS ACTIVATION OF VARIED INSTRUMENTALITIES

INFORMATION DISCLOSURE STATEMENT

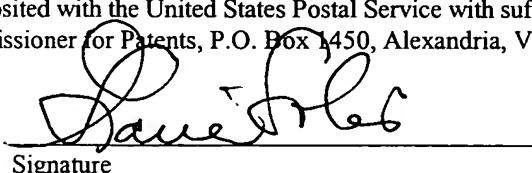
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

This Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicant submits herewith patents, publications or other information of which he is aware, which he believes may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

CERTIFICATION UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on April 23, 2004.



Signature

Gracie Solis
(Printed name of person certifying)

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

A copy of each of the items identified on the attached Form PTO-1449 is supplied herewith, except for the U.S. Patents and the pending patent applications, for which no copies are being submitted.

Respectfully submitted,

WINSTEAD SECHREST & MINICK P.C.

Attorneys for Applicants

By: 
E.E. "Jack" Richards
Reg. No. 53,514

P.O. Box 50784
Dallas, Texas 75201
(512) 370-2869

AUSTIN_1\248726\1
41687-P001C1

In Place of FORM PTO-1449 (Modified)

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANTS' INFORMATION DISCLOSURE
STATEMENT**



Serial No.: 10/743,666
Applicants: Carroll Boyd Norris, Jr.
Filing Date: December 22, 2003
Group: 2181
Atty. Docket No.: 41687-P001C1

Reference Designation

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
AAA	4,536,647	08/20/1985	Atalla et al.	235	379	
ABA	4,003,152	01/18/1977	Barker et al.	42	70	
ACA	5,623,539	04/22/1997	Bassenyemukasa et al.	379	88	
ADA	5,867,802	02/02/1999	Borza	340	5.53	
AEA	6,256,616	07/03/2001	Brookner	705	401	
AFA	5,204,672	04/20/1993	Brooks	340	825.71	
AGA	4,403,869	09/13/1983	Crutcher	368	10	
AHA	5,956,122	09/21/1999	Doster	351	210	
AIA	5,168,114	12/01/1992	Enget	42	70.01	
AJA	5,062,232	11/05/1991	Eppler	42	70.11	
AKA	5,914,701	06/22/1999	Gersheneld et al.	345	156	
ALA	6,367,017	04/02/2002	Gray	713	200	
AMA	6,353,889	03/05/2002	Hollingshead	713	169	
ANA	6,041,410	03/21/2000	Hsu et al.	713	186	
AOA	6,556,904	04/28/2003	Larson et al.	701	33	
APA	4,354,189	10/12/1982	Lemelson	340	825.31	
AQA	5,608,784	03/04/1997	Miller	379	88	
ARA	5,867,795	02/02/1999	Novis et al.	455	566	
ASA	4,952,913	08/28/1990	Pauley et al.	340	573	
ATA	5,537,102	07/16/1996	Pinnow	340	825.30	
AUA	4,234,868	11/18/1980	Radice	340	146.3	
AVA	5,828,301	10/27/1998	Sanchez	340	539	
AWA	6,332,161	12/18/2001	Sasson	709	227	
AXA	6,296,610	10/02/2001	Schneider et al.	600	445	
AYA	5,963,679	10/05/1999	Setlak	382	312	
AZA	5,852,670	12/22/1998	Setlak et al.	382	126	
BAB	5,811,897	09/22/1998	Spaude et al.	307	149	
BBB	4,078,154	03/07/1978	Suzuki et al.	179	1	
BCB	5,949,521	09/07/1999	Williams et al.	351	246	
BDB	5,845,733	12/08/1998	Wolfsen	180	287	
BEB	5,796,858	08/18/1998	Zhou et al.	382	127	
BFB	5,241,161	08/31/1993	Zuta	235	382	

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.